

TO:	President's Council
FROM:	Jeremy Knee, Deputy General Counsel
DATE:	July 7, 2022
RE:	Compliance Revision to UVU Policy 162 Title IX Sexual Harassment

In the opinion of the Office of General Counsel, UVU should initiate a limited revision to Policy 162 under the streamlined "compliance change policy process" outlined in Policy 101.<sup>1</sup>

## **Background**

The Department of Education's 2020 Title IX rulemaking included a controversial evidentiary restriction: schools were prohibited from considering any statement made by a party or witness, unless that party or witness voluntarily appeared at a hearing and agreed to answer cross-examination questions.<sup>2</sup> UVU's Policy 162 mirrors this requirement.

Last July, a federal court struck down that narrow section of the Title IX rule due to the Department's failure to explain or account for potential abuse of that evidentiary restriction by respondents.<sup>3</sup> The question remained, however—*could* universities keep that language embedded in their policies voluntarily, or at least revise at their own pace. On June 28, 2022, the Department of Education issued <u>amended guidance</u> interpreting its Title IX regulations. Their new interpretation answers the question with a firm "no," which means that UVU needs to revise that small section of Policy 162 to comply with the regulations, as judicially modified.

## ED's New Interpretive Guidance

"Question D: Despite the court's decision, may a postsecondary school choose to maintain the prohibition on considering statements made by a party or witness who does not submit to cross-examination at a live hearing as part of its Title IX grievance process?

**Answer D**: No. The 2020 amendments at 34 C.F.R. § 106.45(b)(1)(ii) require "an objective evaluation of all relevant evidence." To the extent that statements made by a party or witness who does not submit to cross-examination at a live hearing satisfy the regulation's relevance rules, they must be considered in any postsecondary school's Title IX grievance process that is initiated after July 28, 2021."

<sup>&</sup>lt;sup>1</sup> Policy 101 § 4.2.4.

<sup>&</sup>lt;sup>2</sup> 34 CFR 106.45.

<sup>&</sup>lt;sup>3</sup> <u>Victim Rts. L. Ctr. v. Cardona</u>, 552 F. Supp. 3d 104, 115 (D. Mass. 2021), <u>order clarified</u> 2021 WL 3516475 (D. Mass. Aug. 10, 2021).

## Proposed Revision to Policy 162

- 738 **5.8.5.4.1** If a party or witness does not submit to cross-examination at the live hearing, the
- 739 hearing panel must not rely on any statement of that party or witness in reaching a determination
- 740 regarding responsibility. The hearing panel must consider all relevant evidence, including
- 741 relevant party or witness statements, even if that party or witness does not appear at the hearing
- 742 <u>or is not subject to cross-examination.</u> The hearing panel<del>, moreover,</del> must not draw an inference
- about the determination regarding responsibility based solely on a party's or witness's absence
- from the live hearing or refusal to answer cross-examination or other questions.
- 745 **5.8.5.4.2** Parties, through their advisors, and hearing panelists are not obligated to question
- 746 witnesses or parties. If no cross-examination is requested by either party or the hearing panel,
- then a party's or witness's absence or silence does not amount to a failure or refusal to submit to
  cross-examination, as described above.
- 749 **5.8.5.4.35.8.5.4.2** Statements shall be excluded under section 5.8.5.4 only for Title IX sexual
- 750 harassment purposes. All relevant evidence, including statements made by a party or witness
- 751 who fails to submit to cross examination, may be considered for purpose of determining
- 752 responsibility for any non-Title IX policy violations consolidated in the formal complaint and
- 753 considered under these procedures.