CHECKLIST FOR EXPORT CONTROL ISSUES
FOREIGN TRAVEL

PI:	School/Department:
Project Title	
Project Title	

Your answers to the questions below will help the Office of University Compliance determine whether any aspect of your foreign travel will be subject to export control regulations. This completed form must be submitted to the Office of University Compliance for review at the time of application (new, renewal, continuation, or supplement) for a sponsored research project, prior to the acceptance of any sponsored research agreement, the involvement of any foreign nationals in your research, and/or the transfer of any information or materials out of the U.S.

	Please answer the following questions:	YES	NO
1.	Does your research involve encryption software?	❏	❏
2.	Does your research involve activities related to the proliferation of nuclear, chemical, or biological weapons or missile technology?	❏	❏
3.	Does your research involve any sanctioned countries, or travel to sanctioned countries? (See lists on Department of Treasury Sanctioned Countries: http://www.treas.gov/offices/enforcement/ofac/programs/index.shtml; Department of Commerce Lists to Check: http://www.bis.doc.gov/index.php/regulations/commerce-control-list-ccl/; and the Department of State Country Policies and Embargoes List: http://www.pmddtc.state.gov/embargoed_countries/index.html)	❏	❏
4.	Does your research involve any materials, technology, or services listed on the **EAR/Commerce Control List** (See http://www.access.gpo.gov/bis/ear/ear_data.html), or the **ITAR/U.S. Munitions List** (See http://www.pmddtc.state.gov/regulations_laws/itar_official.html), or otherwise specifically designed, developed, configured, modified or adapted for military or space-based applications?	❏	❏
5.	Does your research involve specific access and dissemination restrictions (e.g. restriction of participation by foreign nationals, or restrictions on publication, including reporting of the research results, or presentation at conferences?	❏	❏
6.	Will your research involve participation of foreign nationals in the U.S. ("deemed export")?	❏	❏
7.	Are any of the entities or individuals with whom you will be working identified on any of the Lists to Check (see links above)?	❏	❏
	If you have answered **YES** to any of the questions above, your responses to the next questions regarding information and software only will assist the University to determine whether an exclusion applies.		

Information and Software		
8. Is all the information or software involved in your research published and generally accessible to the public through at least one of the following: • Publication for distribution; • Subscription available without restrictions; • Website available free of charge; • Libraries open to the public; • Patents and published patent applications; • Instruction in commonly taught courses; OR If the presentation occurs outside the U.S. Please indicate here:	❏	❏
9. Does the information or software involved in your research meet all of the following criteria: • Results are from research conducted at an accredited institution of higher education in the U.S.; • Is ordinarily published and shared broadly within the scientific community; • Is not restricted (either through written or oral agreement) for proprietary reasons or national security controls; and • Is not subject to specific U.S. Government access and dissemination controls?	❏	❏

I certify that I understand and will comply with all applicable export control laws and regulations.	
PI Signature:	Date:
Print Name:	